

Column1	Column2	Column3	Column4	Column5	Column6	Column7	Column8
DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
May 15, 2017 to May 18, 2017	Multiple Days	Society of Corporate Compliance & Ethics - International Basic Compliance & Ethics Academy	SCCE's International Basic Compliance & Ethics Academy is a three-and-a-half-day intensive training program.	SCCE	Conference	Amsterdam, Netherlands	REGISTER
May 16, 2017 to May 18, 2017	Multiple Days	2017 FINRA Annual Conference	The conference offers industry professionals a variety of sessions related to current trends in technology, cybersecurity, risk management and much more.	FINRA	Conference	Washington, DC	REGISTER
May 22, 2017 to May 23, 2017	Multiple Days	3rd Annual Women Leaders in Financial Services and Compliance	This conference will provide a forum for women in the financial services legal and compliance community to discuss professional opportunities as to foster communication between like-minded individuals about the key legal, compliance, regulatory, and enforcement developments in the past year.	ACI	Conference	New York, NY	REGISTER
May 24, 2017	8:30 am to 2:00 pm	NSCP Regulatory Interchange with the SEC & NFA	NSCP's Regulatory Interchange with the SEC and NFA will provide an informal setting for Investment Adviser and Private Fund industry professionals to ask questions and share concerns with the regulators and fellow attendees.	NSCP	Roundtable	Chicago, IL	REGISTER

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June 5, 2017 to June 6, 2017	Multiple Days	SIFMA FinTech Conference	SIFMA's FinTech Conference will bring together innovators and industry leaders to discuss the latest developments in robo advising, robotics, artificial intelligence, machine learning and blockchain experiments – and how these developments are changing the way firms understand and interact with their customers, manage risk, and run their operations.	SIFMA	Conference	New York, NY	REGISTER
June 6, 2017	7:30 am to 5:30 pm	Compliance and Legal Society Boston Regional Seminar	This one day seminar will feature presentations by leading securities regulators and industry professionals that will include topics of discussion: Broker-Dealer & Investment Advisory, Key Legal and Regulatory Issues, Asset Management and Cybersecurity.	SIFMA	Conference	Boston, MA	REGISTER
June 7, 2017	12:30 pm to 5:00 pm	Compliance Outreach Program Seminar for Investment Company and Investment Adviser Senior Officers	Staff examinations and observations, and topics of interest to advisers to private funds	SEC	Conference	New York, NY	REGISTER
June 13, 2017	8:30 am to 1:00 pm	Compliance Outreach Program Seminar for Investment Company and Investment Adviser Senior Officers	Key examination program initiatives, typical examination process, and topics of interest to advisers to private funds	SEC	Conference	Boston, MA	REGISTER

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June 13, 2017	9:00 am – 5:30 pm	Compliance Outreach Program Seminar for Investment Company and Investment Adviser Senior Officers	Key examination program initiatives, examination procedures and selection processes, common examination deficiencies, data analytics, and several hot topic panels generally applicable to both small and large firms.	SEC	Conference	Chicago, IL	REGISTER
June 28 to July 1, 2017	Multiple Days	Society for Corporate Governance	The Society for Corporate Governance's 2017 National Conference is designed for corporate secretaries, in-house and outside counsel and governance professionals at public and private companies of all sizes. It offers opportunities for cutting edge insights, as well as updates and reviews.	Society for Corporate Governance	Conference	San Francisco, CA	REGISTER
July 27, 2017	9:00 am EDT – 5:30 pm EDT	2017 National Compliance Outreach Program	Co-sponsored by the SEC and FINRA, this program provides a forum to discuss compliance issues, to share experiences and to learn about effective compliance practices.	SEC and FINRA	Conference	Washington, DC	REGISTER

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August 10, 2017 to August 15, 2017	Multiple Days	AMERICAN BAR ASSOCIATION 2017 Annual Meeting	The ABA Annual meeting showcases a variety of CLE courses and an expo of industry vendors: accounting, communications, computer hardware/software, continuing legal education, financial services, insurance, legal publishing, legal services, and office equipment/decoration.	ABA	Conference	New York, NY	REGISTER
October 15, 2017 to October 18, 2017	Multiple Days	SCCE's 16th Annual Compliance & Ethics Institute	Sessions at the 2017 conference will offer the latest compliance information on hot topics and current events.	SCCE	Conference	Las Vegas, NV	REGISTER
October 5 to October 6, 2017	Multiple Days	The 2017 Data Governance Financial Conference	<p>Conference covers topics such as:</p> <ul style="list-style-type: none"> How to be successful with Data Governance and Data Stewardship in Financial Services How to develop an award winning Data Governance program Fintech and Financial Services Data Governance Artifacts for Financial Services Best Practices for DFAST and CCAR Compliance Data Governance and Data Quality Metrics Governing the Data Lake in the Financial Sector How to Comply with BCBS239 and GDPR 	DebTech International, LLC	Conference	Jersey City, NJ	REGISTER

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October 5 to October 6, 2017	Multiple Days	2017 Advertising Regulation Conference	FINRA's Advertising Regulation Conference provides a forum to hear about practical guidance on complying with advertising rules and new developments involving the communications rules, and the opportunity to gain guidance on the advertising standards from industry and FINRA experts.	FINRA	Conference	Washington, DC	REGISTER
October 16, 2017 to October 18, 2017	Multiple Days	2017 National Society of Compliance Professionals (NSCP) National Conference	Conference covers topics such as: An Introduction to the Advisers Act and the Role of the Compliance Officer An Introduction to FINRA Regulations and the Role of the Compliance Officer Professional Development for Compliance Professionals Fundamentals of Compliance and Best Practices for Private Funds and Hedge Funds The Basics of Underwriting Establishing Effective Records Management in Accordance with Rules and Using Technology	NSCP	Conference	Washington, DC	REGISTER
November 06, 2017 to November 07, 2017	Multiple Days	SCCE Board Audit & Compliance Committee Conference	This conference is designed for board members and members of a board Audit and/or Compliance Committee. Compliance officers and other senior leaders in the organization are welcome to accompany board members.	SCCE	Conference	Scottsdale, AZ	REGISTER

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November 08, 2017 to November 09, 2017	Multiple Days	FINRA Small Firms Conference	The Small Firm Conference focuses on small firms’ practices and tips for complying with FINRA rules. Throughout the event, attendees have the opportunity to discuss small firm issues with FINRA senior staff	FINRA	Conference	Santa Monica, CA	
November 28, 2017 to December 1, 2017	Multiple Days	International Conference on the Foreign Corrupt Practices Act	American Conference Institute's 34th International Conference on the Foreign Corrupt Practices Act is widely regarded as the premier flagship anti-corruption conference.	ACI	Conference	Washington, DC	