

POLICY PATTY TOOLKIT

OUR STORY

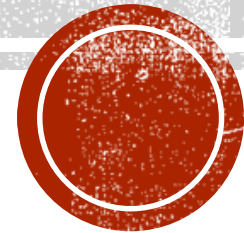


Tools to assess and remediate
your controls and processes
www.policypatty.com

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Visit us at: www.policypatty.com



BACKGROUND



Patty P. Tehrani is:

- an experienced lawyer and compliance professional
- an expert on a wide range of legal and regulatory compliance topics
- founder of Policy Patty Toolkit (www.policypatty.com)
- a graduate of Binghamton University who earned her Juris Doctorate from American University, Washington College of Law
- a member of the New York and U.S. Supreme Court Bars
- a prolific writer on emerging regulatory issues and tools



WHAT WE COVER

We cover various legal, regulatory compliance topics including:

- governance, risk management and compliance solutions
- policy governance and management controls
- records management
- cybersecurity
- identity theft
- privacy and data protection
- conflicts of interest
- anti-corruption and anti-bribery
- anti-money laundering and economic sanctions
- business continuity programs
- legal operations solutions
- third-party governance
- codes of conduct



WHO WE CAN HELP



- Legal
- Compliance
- Internal Audit
- Risk Management
- Operations
- Information Technology
- Management
- Boards of Directors
- Financial Services Regulators
- External Auditors
- Consulting Firms



HOW WE CAN HELP



■ Key Documents

- Codes
- Policies and Procedures
- Charters
- Written Agreements

■ Tools

- Checklists
- Assessment Questionnaires
- Project Plans and Status Reports
- Surveys

■ Awareness

- Guides
- Communications
- Training
- Marketing



WHEN WE CAN HELP



In response to audit, regulatory, compliance, legal, and self-evaluation reviews, we can:

- **Develop**

- Create new programs, frameworks, policies, procedures, and training

- **Enhance**

- Enhance or revise existing controls

- **Assess**

- Use assessment questionnaires or checklists to measure control effectiveness

WHERE YOU CAN GET MORE INFORMATION

Website

www.policypatty.com

Store

<https://patty1@selz.com>

Facebook

<https://www.facebook.com/policypatty/>

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