

Column1	Column2	Column3	Column4	Column5	Column6	Column7	Column8
DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
January 11 - 12, 2018	Multiple Days	12th Annual National Institute on Securities Fraud	Officials from both the U.S. Department of Justice and the U.S. Securities and Exchange Commission forum to examine current legal and ethical issues relating to securities fraud	ABA	Conference	Salt Lake City, UT	REGISTER
February 22, 2018	9AM - 5PM	2018 FINRA Cybersecurity Conference	Conference helps you stay current on today's cybersecurity challenges and the ways in which organizations can understand vulnerabilities and threats, and create resilience against cyber attacks	FINRA	Conference	New York, NY	REGISTER
March 8, 2018	9AM - 5PM	MFA Legal and Compliance 2018	Top hedge fund General Counsels, CCOs, COOs, top U.S. and international regulators and legal industry experts provide tactical guidance on regulatory and operational compliance issues affecting your business	Managed Funds Association	Conference	New York, NY	REGISTER
March 9, 2018	8AM-5PM	New York City Regional Compliance & Ethics Conference	Topics Covered: Third Party Relationships Bullying/Harassment Marketing, Communication & Training Background Screening/Privacy	SCCE	Conference	New York, NY	REGISTER

DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
March 19-20, 2018	Multiple Days	3rd Annual Third Party Risk Management Conference	Recent enforcement actions, data breaches and corruption scandals demonstrate the importance of proper oversight of your third parties. This innovative event to gain practical tools, lessons learned and best practices to protect your organization and strengthen the compliance of your third parties.	Compliance Week	Conference	Atlanta, GA	REGISTER
March 27-28, 2018	Multiple Days	Organization for Economic Cooperation & Development 2018 Global Anticorruption Forum March 27-28, 2018 Paris	Strengthen global solutions that address the dark side of globalization, the 2018 Forum will focus on how to shape an enabling environment for integrity in government, business and society as a whole	OECD	Conference	Paris, France	REGISTER
May 2-4, 2018	Multiple Days	2018 Section of Litigation Annual Conference	Section of Litigation	ABA	Conference	San Diego, CA	REGISTER

DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
May 2-4, 2018	Multiple Days	28th Annual National Institute on Health Care Fraud	Current legal and ethical issues in the area of health care fraud will be discussed during this two-and-a-half day Institute	ABA	Conference	San Francisco, CA	REGISTER
May 21-23, 2018	Multiple Days	2018 FINRA Annual Conference	Leaders of firms of all sizes, compliance professionals, securities attorneys, government officials, FINRA senior leaders and representatives from other securities regulators gather for a three-day event dedicated to the most important issues affecting the securities industry.	FINRA	Conference	Washington, DC	REGISTER
May 21-23, 2018	Multiple Days	13th Annual Compliance Week Conference	Compliance Week's annual conference is a peer-to-peer event that spotlights compliance leaders and their programs.	Compliance Week	Conference	Washington, DC	REGISTER

DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
July 22 – 27, 2018	Multiple Days	FINRA Institute at Georgetown CRCP Program New CRCP Academic Partner and 2018 Program Dates	Participants in the program, which comprises two week-long residential courses, will benefit from the strength of Georgetown University's business and law school professors' securities industry expertise and knowledge of the evolving financial regulatory landscape, as well as learning alongside a diverse group of participants.	FINRA	Conference	Washington, DC	REGISTER
September 21, 2018	8:30am to 1PM	SEC and NYU Forum on High-Frequency Trading and Liquidity Resiliency	The U.S. Securities and Exchange Commission's Division of Economic and Risk Analysis and Division of Trading and Markets are partnering with New York University's Salomon Center for the Study of Financial Institutions to bring together regulators, practitioners, and academics for a half-day symposium to discuss the impact of high-frequency trading and the resiliency of liquidity in securities markets.	SEC and NYU	Roundtable	Washington, DC	REGISTER

DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
October 9, 2018	All Day	2018 FINRA Disclosure Reporting, Monitoring and Registration Conference	<p>2018 FINRA Disclosure Reporting, Monitoring and Registration Conference October 9, 2018 • New York, NY</p> <p>This one-day conference brings together compliance, supervision, and registration staff from broker-dealers and third-party vendors to discuss regulatory reporting requirements and industry practices related to firm registrations.</p>	FINRA	Conference	New York, NY	REGISTER
October 21-24, 2018	Multiple Days	Society of Corporate Compliance & Ethics 2018 Compliance & Ethics Annual Conference		SCCE	Conference	Las Vegas, NV	REGISTER
October 25, 2018	All Day	2018 Securities Law Developments Conference	<p>2018 has been an extremely busy year for the SEC, between adopting new rules and regulations and issuing proposals on topics including ETFs, disclosure, and standards of conduct—and 2019 is expected to be no different.</p>	Investment Company Institute (ICI)	Conference	Washington, DC	REGISTER

DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
November 8, 2018	All Day	RCA - Compliance, Risk & Enforcement™ – New York 2018	Compliance, Risk & Enforcement™ – New York 2018 will feature the largest collection of regulators and recent examinees exclusively sharing the latest regulatory priorities, tactics and developments	Regulatory Compliance Association (RCA)	Conference	New York, NY	REGISTER
November 7-8, 2018	Multiple Days	2018 FINRA Small Firm Conference	Small Firm Conference focuses on small firms' practices and tips for complying with FINRA rules.	FINRA	Conference	Santa Monica, CA	REGISTER
November 11 – 16, 2018	Multiple Days	FINRA Institute at Georgetown CRCP Program New CRCP Academic Partner and 2018 Program Dates	Participants in the program, which comprises two week-long residential courses, will benefit from the strength of Georgetown University's business and law school professors' securities industry expertise and knowledge of the evolving financial regulatory landscape, as well as learning alongside a diverse group of participants.	FINRA	Conference	Washington, DC	REGISTER

DATE	TIME	EVENT NAME	DESCRIPTION	HOSTED BY	TYPE	LOCATION	MORE INFO
November 27-30, 2018	Multiple Days	35th Annual FCPA Conference	This is the only anti-corruption conference with a longstanding track record of assembling government, industry and practitioners from across the globe-making it the must-attend event of the year.	American Conference Institute	Conference	Washington, DC	REGISTER
December 3, 2018	8:30am to 12noon	Regulatory Interchange in Boston	The NSCP Regulatory Interchange will provide an informal setting for Investment Adviser and Private Fund industry professionals to ask questions and share concerns with the regulators and fellow attendees.	National Society of Compliance Professionals	Conference	Boston, MA	REGISTER